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Mesomorphism and Unusual Multiple Melting Behavior via Smectic E Phase in *p*-*n*-Alkoxybiphenylbutane-1,3-dione

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4-*n*-Alkoxy-4'-acetylbiphenyl(C_nO-A: 8 ~ 12, 16, 18) and *p*-*n*-alkoxybiphenylbutane-1,3-dione(C_nO-Lig: 8 ~ 12, 16, 18) have been synthesized and characterized. The C_nO-A (*n* = 8 ~ 12, 16) derivatives have a smectic E mesophase. The C_nO-Lig(*n* = 8 ~ 12, 16, 18) have two mesophases, smectic E and smectic A mesophases. Interestingly, each of the C_nO-Lig(*n* = 8 ~ 12) compounds has three crystalline polymorphs. The C_nO-Lig derivatives for *n* = 8, 10, and 12 show two types of double melting behavior via the smectic E mesophase. The C_nO-Lig derivatives for *n* = 9 and 11 exhibit triple melting behavior via the smectic E mesophase.

Keywords: *smectic, multiple melting behavior, β-diketone*

I. INTRODUCTION

β-Diketones are useful for obtaining the mesomorphic derivatives because they are easily to be synthesized.^{1–6} Furthermore, these compounds have a great ability to complex with various metal ions.⁷ To date, a great variety of long chain-substituted β-diketones have been synthesized mainly by Ohta *et al.*^{1–6,8–14} In these papers, the compounds show not only mesomorphism, but also so-called double melting behavior.^{5,13} Moreover, they reported the first example exhibiting both double melting behavior and mesomorphism in a series of long chain-substituted β-diketones, 1,3-di(*p*-*n*-alkoxyphenyl)propane-1,3-dione.⁵ They pointed out that the double melting behavior of long chain-substituted compounds is a thermal behavior close to mesomorphism.¹³

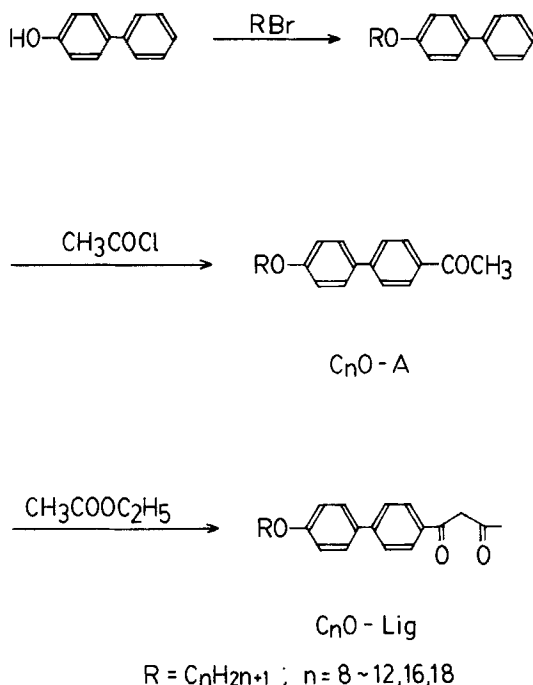
We have synthesized here a new series of the β-diketones, *p*-*n*-alkoxybiphenylbutane-1,3-dione (*n*-alkoxy: R = C_nH_{2n+1}, *n* = 8 ~ 12, 16, 18: abbreviated as C_nO-Lig.). All of these compounds have smectic E (S_E) and Smectic A (S_A) mesophases. Some compounds (*n* = 8 ~ 12) exhibited *unusual* double melting¹⁴ behavior via the smectic E phase. We wish to report here the mesomorphism and the double melting behavior via the smectic E.

II. EXPERIMENTAL

II-1. Synthesis

The synthetic route of the present β -diketones is shown in Scheme 1. *p*-*n*-Alkoxybiphenyl was prepared from *p*-hydroxybiphenyl as a starting material by the same method in the literature.⁵ 4-*n*-Alkoxy-4'-acetylbiphenyl (abbreviated as C_nO-A) was obtained by the method of Gray *et al.*¹⁷ *p*-*n*-Alkoxybiphenylbutane-1,3-dione (abbreviated as C_nO-Lig ; $n = 8 \sim 12, 16, 18$) was synthesized by the previously reported method.¹⁰ In Table I and II are listed elemental analysis data, yields, recrystallization solvents, and the crystalline shapes obtained from recrystallization for C_nO-A and C_nO-Lig . The detailed procedures of the representative compounds, C_8O-A , and C_8O-Lig , are described in the following.

4-*n*-Octyloxy-4'-acetylbiphenyl (C_8O-A). *p*-*n*-Octyloxybiphenyl (2.0 g, 7.1 mmol) was dissolved in freshly distilled dry carbon disulphide (ca. 30 ml). After the solution was cooled down to $0 \sim 2^\circ C$, anhydrous aluminium chloride (1.1 g, 8.1 mmol) was quickly added to the solution with stirring. Acetyl chloride (0.72 g, 9.2 mmol) was then added slowly, and the temperature of the reaction mixture was raised gradually to the b.p. ($35^\circ C$). The mixture was refluxed until the reaction was completed (1 hr). After cooling in an ice bath, ca. 10 ml of concentrated hydrochloric acid was added to the mixture. The resulting white solid was collected. The solid was chro-



SCHEME 1 Synthetic route for *p*-*n*-alkoxybiphenylbutane-1,3-dione, C_nO-Lig .

TABLE I

Elemental analysis data, yields, recrystallization solvents, and the crystalline shapes obtained from recrystallization for C_nO-A .

n	Elemental analysis		Yield (%)	Recrystallization solvent	Crystalline shape
	Found(caled.)% C	H			
8	81.44(81.61)	8.67(8.74)	30	IPA ^a	plate-like
9	81.61(81.76)	8.93(8.85)	28	IPA ^a	plate-like
10	81.77(82.05)	9.15(9.20)	23	IPA ^a	plate-like
11	81.92(81.99)	9.35(9.40)	30	IPA ^a	plate-like
12	82.06(81.97)	9.54(9.55)	27	IPA ^a	plate-like
16	82.52(82.59)	10.16(9.99)	28	IPA ^a	plate-like
18	82.70(82.76)	10.41(10.50)	29	IPA ^a	plate-like

^aIsopropyl alcohol

matographed over a silica gel with benzene and recrystallized from isopropyl alcohol to afford 0.68 g of colorless plate-like crystals. (30%).

MS (m/e) = 324 (M^+), IR (KBr, disk, cm^{-1}) 1700 (C = O), 1H -NMR ($CDCl_3$, TMS) δ (ppm) 0.9 (t, 3H, CH_3), 1.3 (m, 12H, $(CH_2)_6$), 2.6 (s, 3H, $COCH_3$), 4.0 (t, 2H, OCH_2), 6.9 ~ 8.0 (m, 8H, biphenyl)

p-n-Octyloxybiphenylbutane-1,3-dione (C_8O-Lig). C_8O-A (0.50 g, 1.5 mmol) was dissolved in freshly distilled dry tetrahydrofuran (THF) (ca. 20 ml). The solution was refluxed for 2 hr. in the presence of 60% sodium hydride (0.14 g, 3.1

TABLE II

Elemental analysis data, yields, recrystallization solvents, and the crystalline shapes obtained from recrystallization for C_nO-Lig .

n	Elemental analysis		Yield (%)	Recrystallization solvent	Crystalline shape
	Found(caled.)% C	H			
8	78.65(78.28)	8.25(8.14)	73	ethanol	powder(K_1)
9	78.91(78.92)	8.48(8.47)	86	ethanol	powder(K_3)
10	79.15(79.19)	8.69(8.72)	83	ethanol	powder(K_3)
11	79.37(79.57)	8.88(8.89)	80	ethanol	powder(K_3)
12	79.58(79.46)	9.06(9.05)	76	ethanol	powder(K_2)
16	80.29(80.20)	9.69(9.61)	71	chloroform	plate-like
18	80.58(80.42)	9.95(10.06)	84	chloroform	plate-like

mmol). Dry ethyl acetate (0.68 g, 7.7 mmol) was then added to the solution at room temperature and refluxed again for 12 hr. After cooling in an ice water bath, an aqueous hydrochloric acid solution (1N, ca. 10 ml) was added to the mixture. The product was extracted with diethyl ether. Evaporation gave a yellow solid. The solid was chromatographed over a silica gel with benzene and recrystallized from ethyl alcohol to afford 0.41 g of yellow powder (73%).

MS (m/e) = 366 (M^+), I.R. (KBr, disk, cm^{-1}) 1600 ($\text{C}=\text{C}$), $^1\text{H-NMR}$ (CDCl_3 , TMS) δ (ppm) 0.9 (t, 3H, CH_3), 1.3 (m, 12H, $(\text{CH}_2)_6$), 2.2 (s, 3H, COCH_3), 4.0 (t, 2H, OCH_2), 6.1 (s, 1H, enol CH), 6.8 ~ 7.9 (m, 8H, biphenyl), 16.2 (s, 1H, enol OH), keto:enol = 0:100.

II-2. Measurements

Phase transition behaviors of these compounds synthesized here were observed with a polarizing microscope equipped with a heating plate controlled by a thermoregulator, Mettler FP80 and FP82, and measured with differential scanning calorimeters, Rigaku Thermoflex TG-DSC and Rigaku Thermoflex DSC-10A. The X-ray diffraction powder patterns were employed to characterize the mesophases and the crystalline polymorphs in the present compounds. The patterns were measured with $\text{Cu-K}\alpha$ radiation, using a Rigaku Geigerflex equipped with a hand-made heating plate controlled by a thermoregulator.¹⁸

III. RESULTS AND DISCUSSION

[1]. Mesomorphism of 4-*n*-alkoxy-4'-acetylbiphenyl ($\text{C}_n\text{O-A}$)

The precursor compounds, $\text{C}_n\text{-A}$, also exhibit mesomorphism. In Table III are summarized the phase transition temperatures and phase transition enthalpy changes for $\text{C}_n\text{O-A}$. In Figure 1, all transition temperatures of $\text{C}_n\text{O-A}$ are plotted against the number of carbon atoms in the alkoxy chain. Each of the $\text{C}_n\text{O-A}$ ($n = 8 \sim 12, 16$) compounds has a smectic E (S_E) mesophase. The temperature range of the S_E mesophase gradually becomes narrow with increasing the number of carbon atoms from $n = 8$ to $n = 12$; at last, $\text{C}_{18}\text{O-A}$ has no mesophase. All of the $\text{C}_n\text{O-A}$ ($n = 8 \sim 12, 16$) compounds gave a platelet texture for the mesophases. The X-ray diffraction pattern of the mesophase of $\text{C}_{12}\text{O-A}$ at 120°C corresponds to the lamellar structure, spacing = 29.4 \AA and the lattice constants in a two-dimensional rectangular lattice, $a = 8.08 \text{ \AA}$, $b = 5.53 \text{ \AA}$. Therefore, this mesophase is confirmed as a smectic E phase. This result is compatible with the assignment of the S_E mesophase for the homologue of 4-ethoxy-4'-acetylbiphenyl in the literature.¹⁹

[2]. Mesomorphism and unusual multiple melting behavior of *p*-*n*-alkoxybiphenylbutane-1,3-dione ($\text{C}_n\text{O-Lig}$; $n = 8 \sim 12, 16, 18$)

All of the $\text{C}_n\text{O-Lig}$ compounds have two mesophases of smectic E (S_E) and smectic A (S_A) mesophases. In Table IV are summarized the phase transition temperatures and their enthalpy changes of these compounds. In Figure 2, all transition temperatures of the $\text{C}_n\text{O-Lig}$ compounds are plotted against the number of carbon

TABLE III
Phase transition temperatures (T) and enthalpy changes (ΔH) of C_nO-A .

n	Phase ^a $\xrightarrow{T^{\circ}C[\Delta H(kcal/mol)]}$ Phase	
8	K $\xrightarrow{96.0[6.9]}$ S _E	$\xrightarrow{136.5[3.9]}$ I.L.
9	K $\xrightarrow{104.2[8.6]}$ S _E	$\xrightarrow{135.0[4.0]}$ I.L.
10	K $\xrightarrow{102.7[9.1]}$ S _E	$\xrightarrow{132.1[4.2]}$ I.L.
11	K $\xrightarrow{110.5[10.2]}$ S _E	$\xrightarrow{130.6[4.0]}$ I.L.
12	K $\xrightarrow{109.8[10.3]}$ S _E	$\xrightarrow{129.9[3.9]}$ I.L.
16	K $\xrightarrow{116.8[14.2]}$ S _E	$\xrightarrow{122.5[4.2]}$ I.L.
18	K $\xrightarrow{119.4[17.1]}$	I.L.

^aPhase nomenclature: K = crystal, S_E = smectic E mesophase, and I.L. = isotropic liquid.

atoms in the alkoxy chain. The two mesophases S_E and S_A are established for C₁₆O-Lig.

(2-1). Mesomorphism of *p*-*n*-hexadecyloxybiphenylbutane-1,3-dione (C₁₆O-Lig)

C₁₆O-Lig was recrystallized from chloroform to afford plate-like crystals. When the virgin crystals are heated from room temperature (r.t.), they melt to a S_E mesophase at 118.9°C, followed by a S_A mesophase at 139.1°C; on further heating,

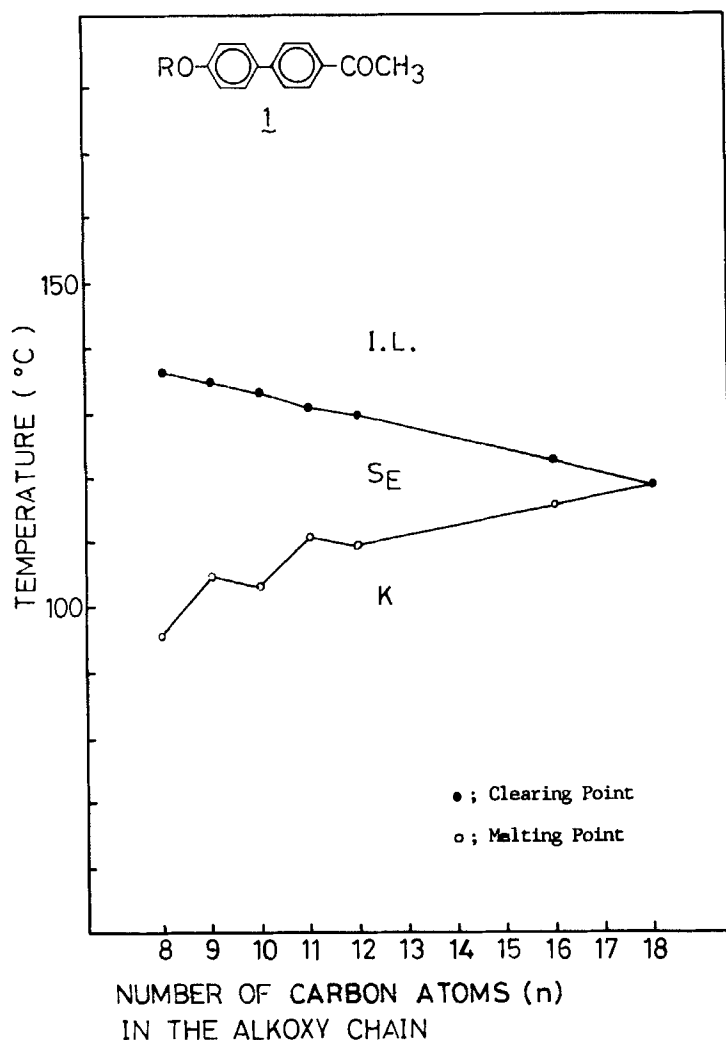


FIGURE 1 Phase transition temperatures vs. number of carbon atoms in the alkoxy chain of $\text{C}_n\text{O-A}$.

the S_A mesophase clears to an isotropic liquid (I.L.) at 162.0°C. The textures of the two mesophases are shown in Figure 3. When the I.L. was cooled down to 156.5°C, a focal conic texture in Figure 3a appeared: this texture is a characteristic of S_A mesophases. When the sample in Figure 3a was cooled to 133.0°C, a platelet texture of a characteristic of S_E mesophases was growing in the focal-conic texture of the S_A mesophase (Figure 3b): on further cooling to 132.0°C, the texture completely transformed to the platelet texture as shown in Figure 3c. These S_E and S_A mesophases were confirmed also by powder X-ray diffraction. The X-ray diffraction powder patterns of the mesophases are shown in Figure 4. The pattern of the S_A mesophase at 150°C is illustrated in Figure 4a. It gave a diffuse band around 2θ

TABLE IV

Phase transition temperatures (T) and enthalpy changes (ΔH) of C_nO -Lig.

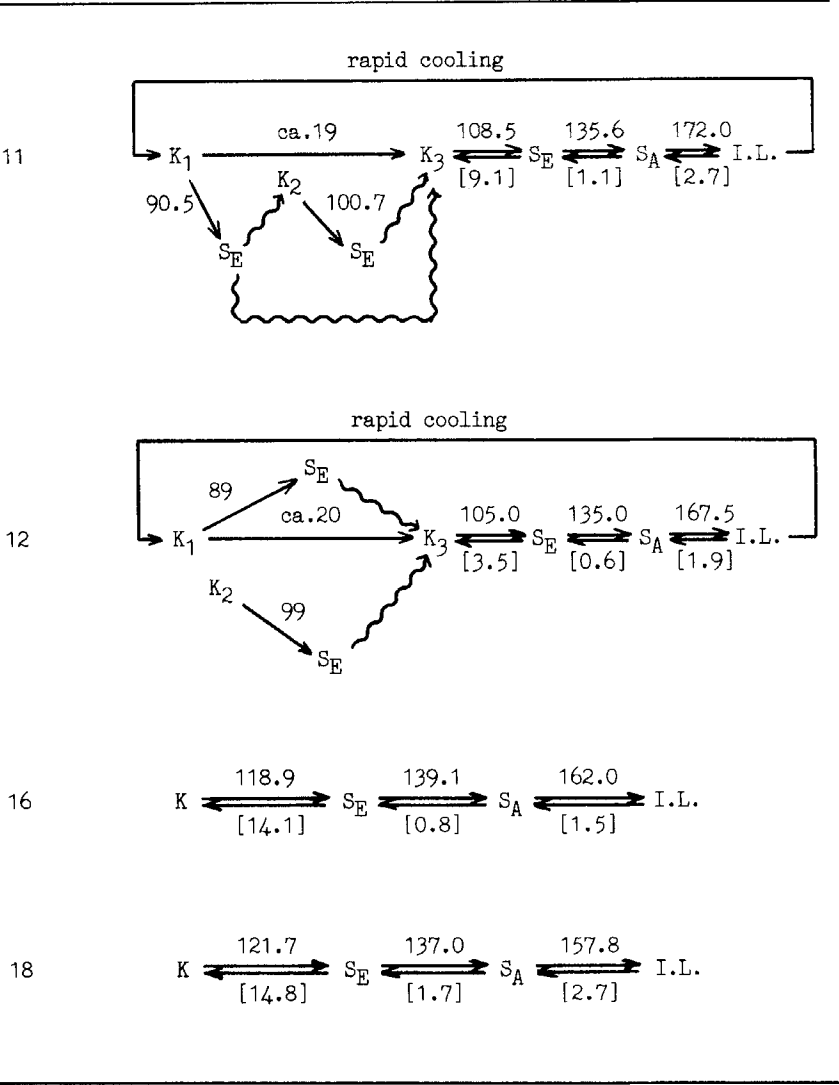
n	Phase ^a $\xrightarrow[\Delta H[\text{kcal/mol}]]{T^{\circ}\text{C}}$ Phase	
	~~~~~ relaxation ~~~~~	
8	rapid cooling	
9	rapid cooling	
10	rapid cooling	

Table IV Cont. on next page

= 20° in the X-ray wide-angle region, corresponding to the melt of the alkoxy chains. It also gave three narrow reflections in the low-angle region, which correspond to the (001), (002) and (004) planes, respectively, for a layered structure, the interlayer distance = 35.0 Å. Therefore, the mesophase was confirmed as a  $S_A$  mesophase. The X-ray diffraction powder pattern of the  $S_E$  mesophase at 130°C



TABLE IV  
(Continued)



*Phase nomenclature: K = crystal,  $S_E$  = smectic E mesophase,  $S_A$  = smectic A mesophase, and I.L. = isotropic liquid.

is shown in Figure 4b. It gave three narrow reflections in the low-angle region, which correspond to the (001), (002), and (004) planes; the interlayer distance = 35.7 Å. It also gave three narrow reflections in the wide-angle region, which correspond to (110), (200), and (210) in a two-dimensional rectangular lattice, respectively, lattice constants  $a = 8.06$  Å,  $b = 5.49$  Å. Thus, the lower temperature mesophase was confirmed as a  $S_E$  mesophase.

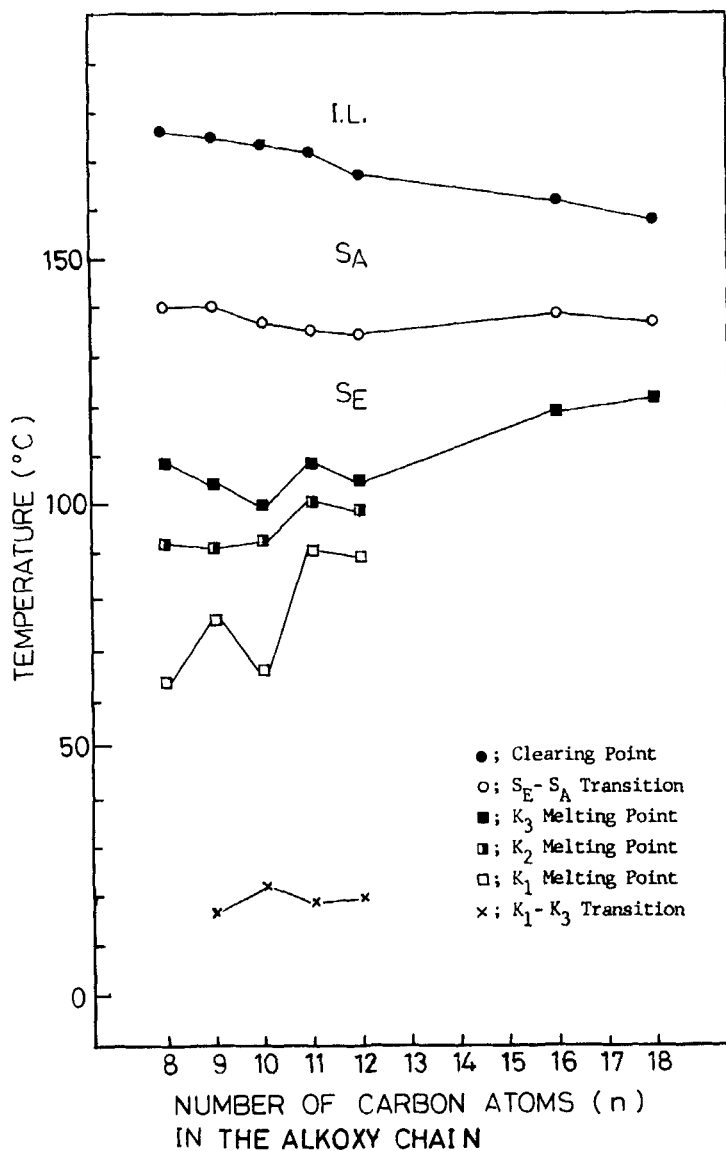


FIGURE 2 Phase transition temperatures vs. number of carbon atoms in the alkoxy chain of  $C_nO$ -Lig.

## (2-2). Unusual double melting behavior via the $S_E$ mesophase of $C_{12}O$ -Lig

Two types of unusual double melting behavior were revealed for  $C_{12}O$ -Lig.

a). *Generality of two types of double melting behavior.* Generally, usual double melting behavior is observed via an isotropic liquid having high fluidity.¹⁵ Recently, Ohta *et al.* reported unusual double melting behavior via a mesophase (via a discotic mesophase¹⁴; via a nematic phase¹⁶). Double melting behavior is

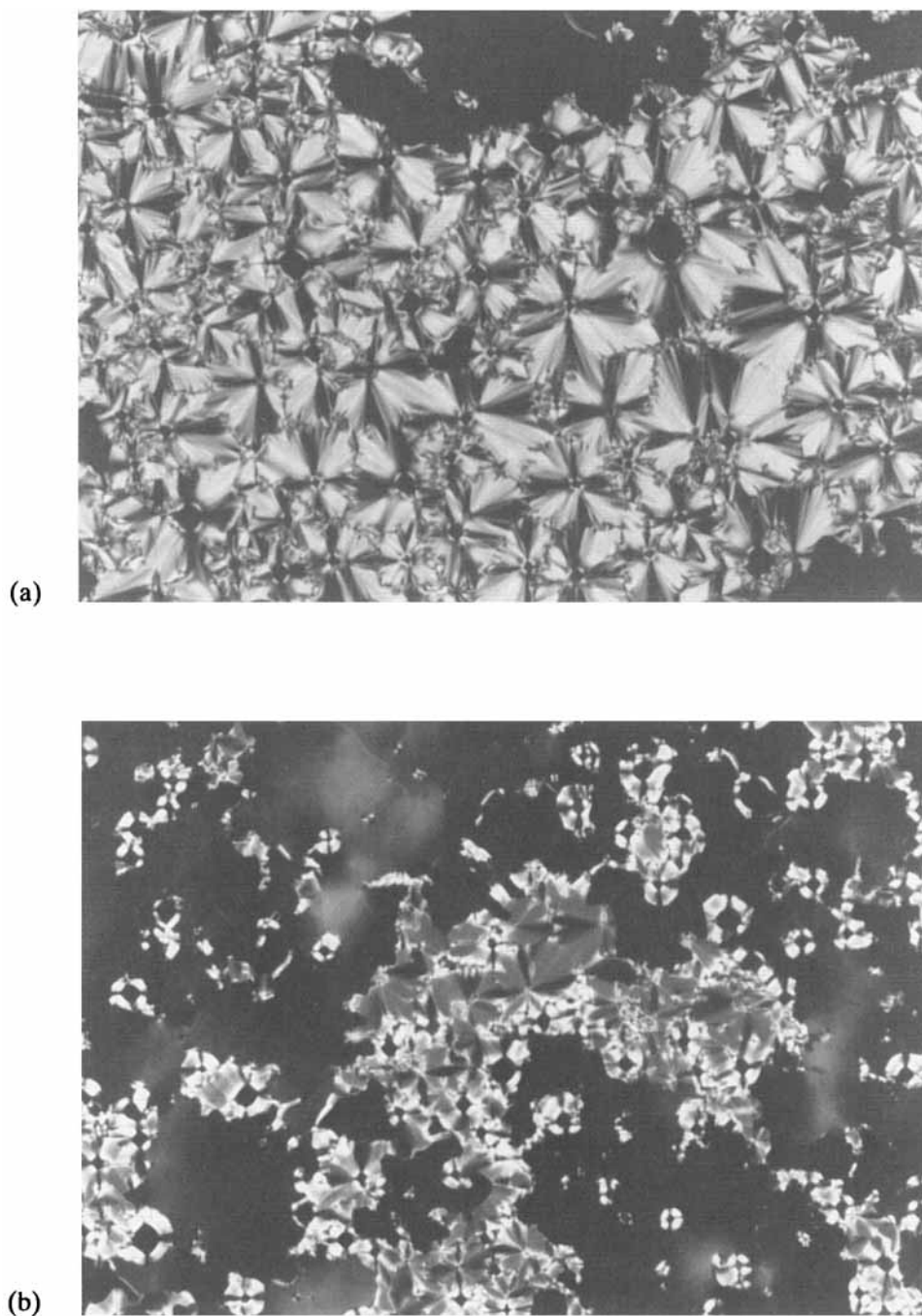
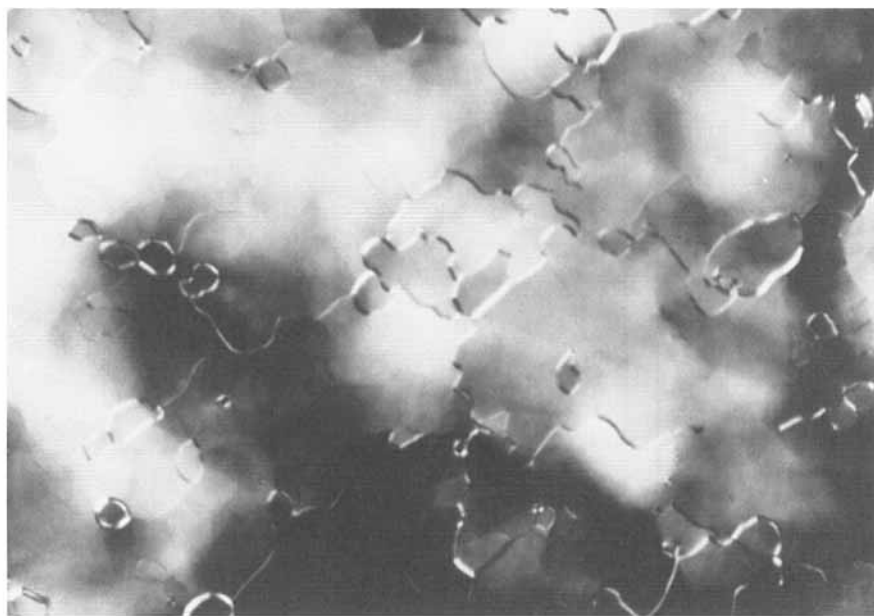


FIGURE 3 The textures of the smectic A mesophase of *p-n*-hexadecyloxybiphenylbutane-1,3-dione ( $C_{16}O$ -Lig); (a) the focal-conic texture of the smectic A mesophase obtained from the isotropic liquid on cooling to  $156.5^{\circ}\text{C}$ , (b) the platelet growth of the smectic E mesophase in the focal-conic texture of the smectic A mesophase at  $133.0^{\circ}\text{C}$ , (c) the platelet texture of the smectic E mesophase at  $132.0^{\circ}\text{C}$ . See Color Plate II.



(c)

FIGURE 3 (continued)

classified into two types (Figure 5). When we assume that a compound has two crystalline polymorphs,  $K_1$  and  $K_2$ , one type of the double melting behavior can be observed for a monotropic relation between  $K_1$  and  $K_2$  (Case A in Figure 5); the other type for an enantiotropic relation between  $K_1$  and  $K_2$  (Case B in Figure 5). The method to distinguish these two types is to observe the heating rate dependence of differential scanning calorimeter (DSC) thermograms.^{5,10,13,14} In the former (Case A), the DSC thermograms show two endothermic peaks (Peaks I and III) and one exothermic peak (Peak II). Peak I and Peak III correspond to the meltings of  $K_1$  and  $K_2$ , respectively. Peak II between Peaks I and III corresponds to a recrystallization from the melt of  $K_1$  to the  $K_2$  crystalline phase. The ratio of Peak III (due to the melting of  $K_2$ ) to Peak I (due to the melting of  $K_1$ ) decreases with a faster heating rate. Hence, the *slower* the heating rate, the more clearly double melting behavior can be observed for Case A. In the latter (Case B), the DSC thermograms exhibit three endothermic peaks (Peaks I, II and IV) and one exothermic peak (Peak III). Peak I corresponds to the crystal-crystal phase transition from  $K_1$  to  $K_2$ ; however, it is not clear in most of the cases because Peak I is often observed as a very broad peak. Peak II and Peak IV correspond to the meltings of  $K_1$  and  $K_2$ , respectively. Peak III between Peak II and Peak IV corresponds to the recrystallization from the melt of  $K_1$  to the  $K_2$  crystalline phase. The ratio of Peak II (due to the melting of  $K_1$ ) to Peak IV (due to the melting of  $K_2$ ) increases with a faster heating rate. Hence, the *faster* the heating rate, the more clearly double melting behavior can be observed for Case B. The heating rate dependence of Case B is quite opposite to that of Case A. Therefore, these two types of the double melting behaviors are distinguishable from the heating rate dependence.

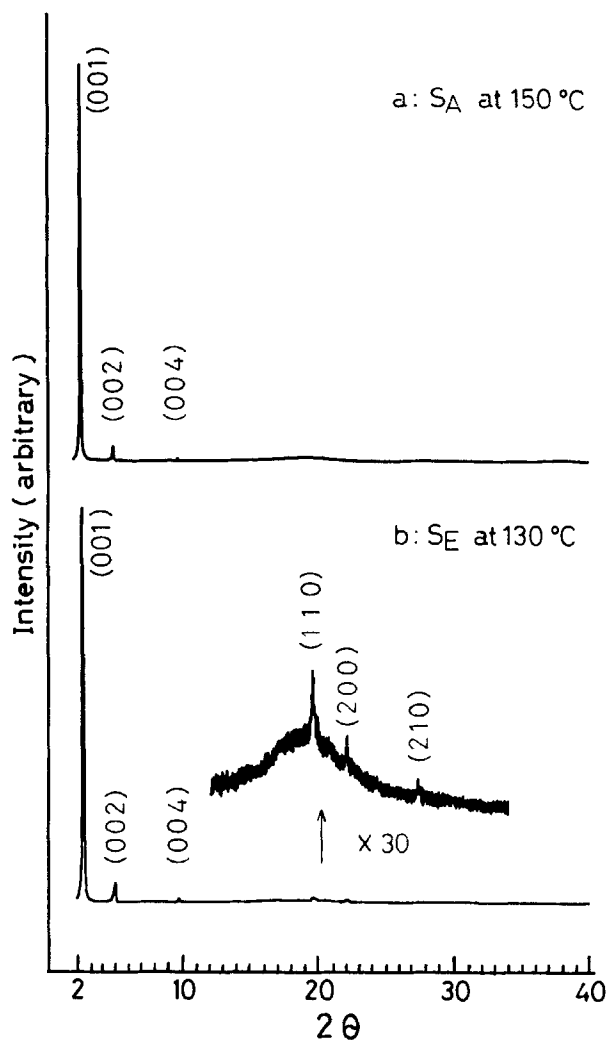


FIGURE 4 X-Ray diffraction powder patterns of  $C_nO$ -Lig: (a) the smectic A( $S_A$ ) mesophase at 150°C, (b) the smectic E( $S_E$ ) mesophase at 130°C.

b). *Preparation of crystallographically pure crystals,  $K_1$ ,  $K_2$ , and  $K_3$  of  $C_{12}O$ -Lig.* It is apparent from generality above-mentioned that a compound exhibiting double melting behavior necessarily has at least two crystalline polymorphs. Crystallographically pure crystals of the  $K_1$ ,  $K_2$ , and  $K_3$  states in  $C_{12}O$ -Lig could be obtained as follows.

The  $K_2$  crystals were obtained from recrystallization in ethanol at  $-20^\circ\text{C}$ . The  $K_1$  crystals appeared when an I.L. at  $180^\circ\text{C}$  was cooled *rapidly* by placing it onto a stainless steel plate at ca.  $5^\circ\text{C}$ . The  $K_3$  crystals could be obtained when an I.L. at  $180^\circ\text{C}$  was cooled *slowly* to room temperature over 1 hr. To distinguish these three crystalline polymorphs in the  $C_{12}O$ -Lig compounds, X-ray diffraction powder

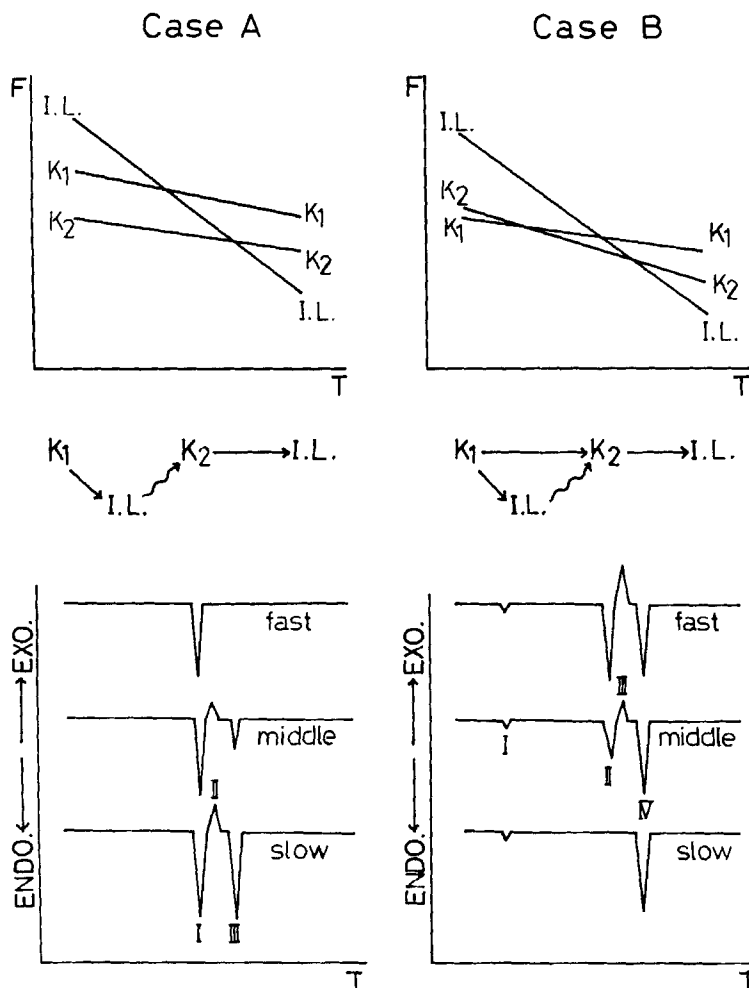


FIGURE 5 The two types of double melting behavior, Case A: a monotropic relation between  $K_1$  and  $K_2$ ; Case B: an enantiotropic relation between  $K_1$  and  $K_2$ .

patterns were recorded. The X-ray powder patterns of the two crystalline polymorphs of  $K_2$  and  $K_3$  could be obtained at room temperature as illustrated in Figure 6. The two patterns of the  $K_2$  and  $K_3$  polymorphs were completely different. Hence, the  $K_2$  and  $K_3$  crystals are different crystalline polymorphs. The pattern of the pure  $K_1$  could not be obtained, because the  $K_1$  transformed to the  $K_3$  during X-ray radiation at room temperature, and because the  $K_1$  crystals gradually transform by the crystal-crystal phase transition from  $K_1$  to  $K_3$  at ca. 20°C. Therefore, we always obtained a mixed pattern of  $K_1$  and  $K_3$ . Nevertheless, the pattern gave the reflections characteristic of the  $K_1$  crystalline form at  $d = 3.13, 3.79, 15.5$ , and  $26.7 \text{ \AA}$  for the four strongest reflections.

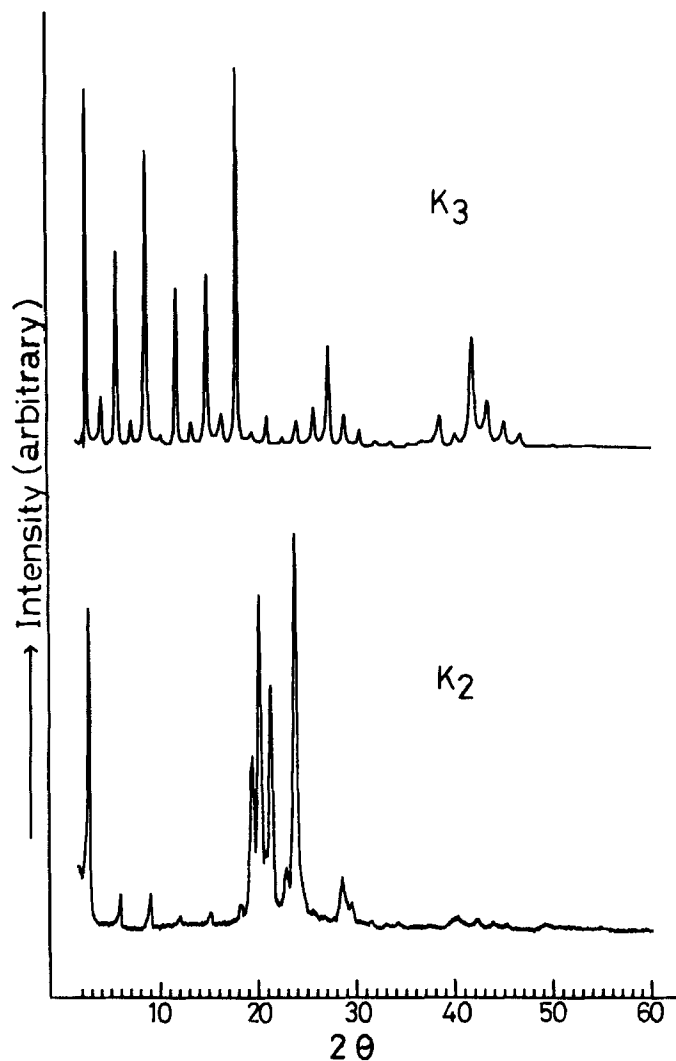


FIGURE 6 X-Ray diffraction powder patterns of  $C_{12}O$ -Lig: (a) the virgin crystals ( $K_2$ ) at r.t., (b) the  $K_3$  obtained when the I.L. at  $180^\circ\text{C}$  was cooled slowly to r.t. over 1 hr.

c). *Heating rate dependence of the DSC thermograms of the  $K_1$  crystals.* It is apparent from generality above-mentioned that double melting behavior can be estimated by heating dependence of the DSC thermograms.

Figure 7 shows the DSC thermograms of the  $K_1$  crystals for different heating rates, 2.5, 10, and  $40^\circ\text{C}/\text{min}$ . The thermogram for  $10^\circ\text{C}/\text{min}$  exhibits six peaks (Peaks I, II, III, IV, V, and VI). Peak I could be observed especially for heating the sample from  $-10^\circ\text{C}$ . Peak I corresponds to the crystal-crystal phase transition from  $K_1$  to  $K_3$  at ca.  $20^\circ\text{C}$ . Peak II and Peak IV correspond to the melting of  $K_1$  to the  $S_E$  mesophase at  $89^\circ\text{C}$  and the melting of  $K_3$  to the  $S_E$  mesophase at  $105.0^\circ\text{C}$ ,

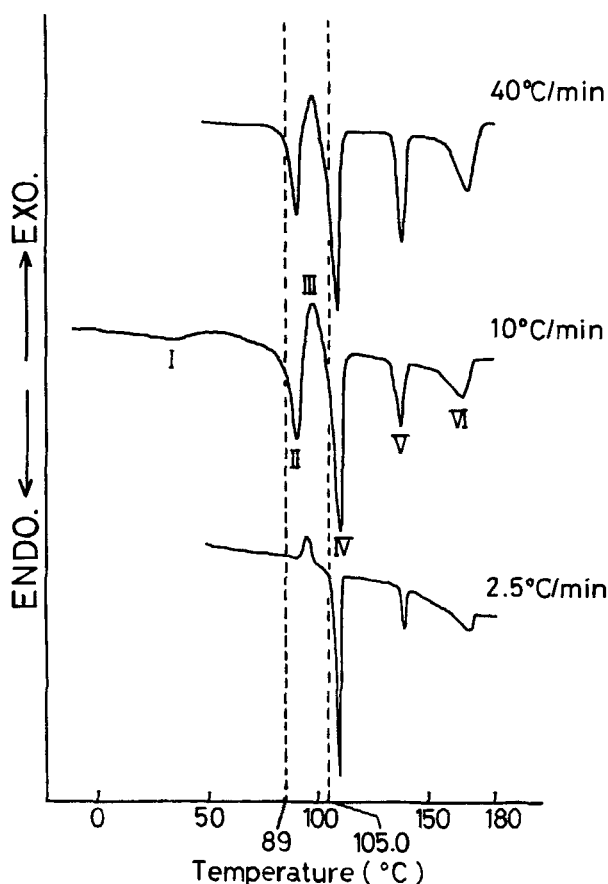


FIGURE 7 DSC thermograms of  $K_1$  of  $C_{12}O$ -Lig for different heating rates.

respectively. Exothermic Peak III between Peak II and Peak IV corresponds to the recrystallization from the melt of  $K_1$  to the  $K_3$  crystals. Peak V and Peak VI correspond to the phase transition from the  $S_E$  mesophase to the  $S_A$  mesophase at  $135.0^\circ\text{C}$  and the clearing from the  $S_A$  mesophase to I.L. at  $167.5^\circ\text{C}$ , respectively. The ratio of Peak II (due to the melting of  $K_1$ ) to Peak IV (due to the melting of  $K_3$ ) increases with a faster heating rate. Therefore, the  $C_{12}O$ -Lig compound shows a double melting behavior via the  $S_E$  mesophase with an enantiotropic relation between  $K_1$  and  $K_3$ , which corresponds to Case B in Figure 5.

d). *Heating rate dependence of the DSC thermograms of the  $K_2$  crystals.* Figure 8 shows three DSC thermograms of the  $K_2$  crystal for different heating rates, 2.5, 10, and  $40^\circ\text{C}/\text{min}$ . These thermograms exhibit five peaks (I, II, III, IV, and V). Peak I and Peak III correspond to the melting of  $K_2$  to the  $S_E$  mesophase at  $99^\circ\text{C}$  and the melting of  $K_3$  to  $S_E$  mesophase at  $105.0^\circ\text{C}$ , respectively. Exothermic Peak II between Peak I and Peak III, which is relatively ambiguous in this figure, corresponds to the recrystallization from the melt of  $K_2$  to the  $K_3$  crystals. The



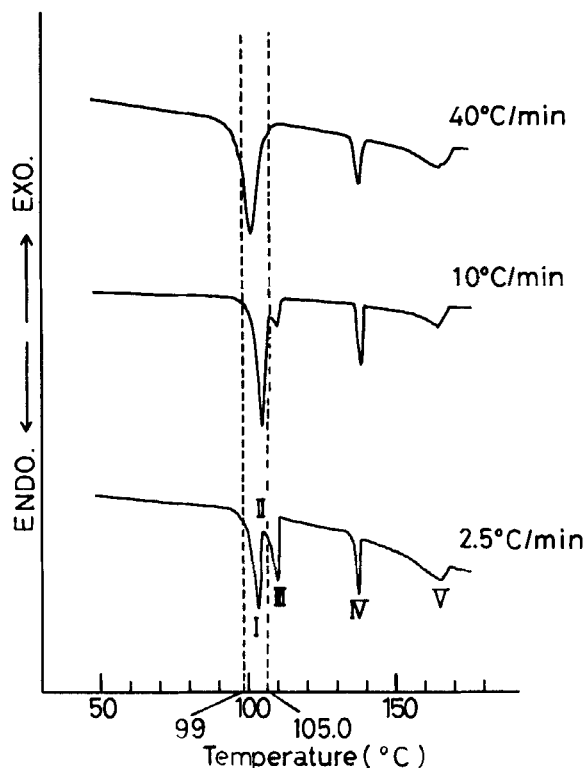


FIGURE 8 DSC thermograms of  $K_2$  of  $C_{12}O$ -Lig for different heating rates.

ratio of Peak III (due to the melting of  $K_3$ ) to Peak I (due to the melting of  $K_2$ ) decreases with a faster heating rate. Therefore, the  $C_{12}O$ -Lig compound exhibits a double melting behavior via the  $S_E$  mesophase with a monotropic relation between  $K_2$  and  $K_3$ , which corresponds to Case A in Figure 5.

*e). Heating rate dependence of the DSC thermograms of the  $K_3$  crystals.* Figure 9 shows three DSC thermograms of the  $K_3$  crystals for different heating rates, 2.5, 10, and 40°C/min. All the thermograms were the same for the different heating rates; i.e., no heating rate dependence was observed. Therefore, it can be elucidated that  $K_3$  is the most stable crystalline phase in the three polymorphs.

The complicated melting behavior discussed above can be readily explained by the schematic free-energy ( $F$ ) vs. temperature ( $T$ ) diagram in Figure 10. When the  $K_1$  is heated from 0°C, the crystal-crystal phase transition from  $K_1$  to  $K_3$  partially occurs at the intersection of the  $K_1$  line and the  $K_3$  line at ca. 20°C. However, since the crystal-crystal phase transition is very slow, the superheating of  $K_1$  readily occurs along the  $K_1$  line until the  $K_1$  melts into the  $S_E$  mesophase at the intersection of the  $K_1$  line and the  $S_E$  line at 89°C followed by the recrystallization from the  $S_E$  mesophase to the  $K_3$  crystals due to relaxation†: all portions of the  $S_E$  mesophase

†Theoretically, it is possible to transform from the superheated  $K_1$  to the metastable  $K_2$  at the intersection of the  $K_1$  line and the  $K_2$  line. However, it was not observed for this compound by a polarizing microscope and a DSC.

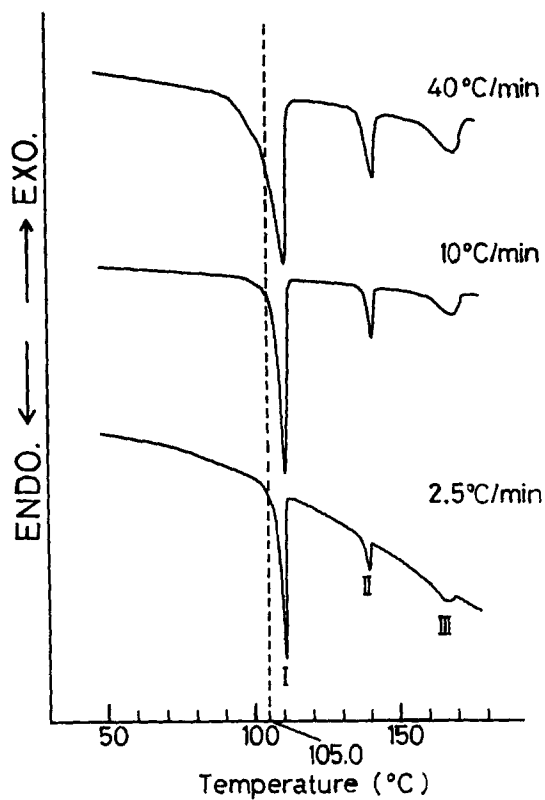


FIGURE 9 DSC thermograms of  $K_3$  of  $C_{12}O$ -Lig for different heating rates.

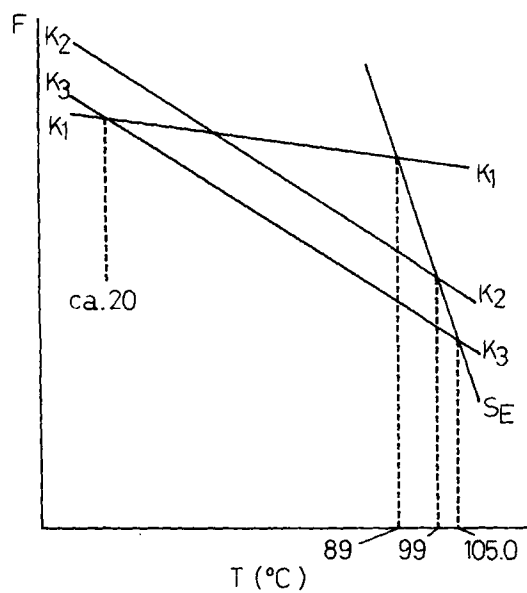


FIGURE 10 The schematic free-energy (F) vs. temperature (T) diagram of  $C_{12}O$ -Lig.

change into  $K_3$  crystals. On further heating, the  $K_3$  melts again into the  $S_E$  mesophase at the intersection of the  $K_3$  line and the  $S_E$  line. This corresponds to Case B in Figure 5 which is the double melting behavior with the *enantiotropic* relation between  $K_1$  and  $K_3$ . When the  $K_2$  is heated from room temperature, it melts to the  $S_E$  mesophase at 99°C followed by the recrystallization from the  $S_E$  mesophase to  $K_3$  due to relaxation. On further heating the  $K_3$  melts again into the  $S_E$  mesophase at 105.0°C. This corresponds to Case A in Figure 5 which is the double melting behavior with the *monotropic* relation between  $K_2$  and  $K_3$ . When the  $K_3$  is heated from room temperature along the  $K_3$  line, it exhibits only single melting from the  $K_3$  to the  $S_E$  mesophase at the intersection of the  $K_3$  line and the  $S_E$  line at 105.0°C.

Thus, these complicated two types of unusual double melting behavior via the  $S_E$  mesophase of  $C_{12}O$ -Lig can be readily explained by the schematic F-T diagram.

### (2-3). Unusual triple melting behavior via the $S_E$ mesophase of $C_9O$ -Lig

The  $C_nO$ -Lig ( $n = 9, 11$ ) compounds exhibit unusual triple melting behavior. The unusual triple melting behavior was revealed in detail for  $C_9O$ -Lig.  $C_9O$ -Lig has three crystalline polymorphs,  $K_1$ ,  $K_2$ , and  $K_3$  (the same case as  $C_{12}O$ -Lig). The results of the microscopic observations and the DSC measurements supported that a schematic F-T diagram of  $C_9O$ -Lig is the same as that of  $C_{12}O$ -Lig (Figure 10). Nevertheless,  $C_9O$ -Lig shows a triple melting behavior. This results from the relaxation from the  $S_E$  mesophase to a mixture of the  $K_2$  and  $K_3$  phases at the m.p. of the  $K_1$ .

When the  $K_1$  of  $C_9O$ -Lig is heated from 0°C, a crystal-crystal phase transition from  $K_1$  to  $K_3$  partially occurs at ca. 17°C. The superheated  $K_1$  melts to the  $S_E$  mesophase at 76.0°C (the first melting). When the  $S_E$  mesophase is held at 84°C, the recrystallization from the  $S_E$  mesophase into a mixture of the  $K_2$  and  $K_3$  crystals occurs due to the relaxation. The  $K_2$  melts again to the  $S_E$  mesophase at 91.2°C (the second melting). When the  $S_E$  mesophase is held at 98.0°C, the recrystallization from the  $S_E$  mesophase into the  $K_3$  occurs. The  $K_3$  melts again to the  $S_E$  mesophase at 104.5°C (the third melting). This is the unusual triple melting behavior via the  $S_E$  mesophase of  $C_9O$ -Lig. In this case of  $C_9O$ -Lig, the recrystallization from the  $S_E$  mesophase into a mixture of the  $K_2$  and  $K_3$  crystals at the melting point of  $K_1$  occurs, whereas, in the case of  $C_{12}O$ -Lig, the recrystallization from the  $S_E$  mesophase only to  $K_3$  occurs. Therefore, the additional melting of the  $K_2$  could be observed for  $C_9O$ -Lig. As a result, the unusual triple melting behavior could be observed for  $C_9O$ -Lig.

### [3]. Is smectic E phase a mesophase or a crystalline phase?

At present, it is currently believed that  $S_E$  phase is a crystalline phase rather than a mesophase.²⁰ The multiple melting behavior of the present  $C_nO$ -Lig ( $n = 8 \sim 12$ ) compounds protests against this tendency. Generally, multiple melting behavior can be observed via liquid with high fluidity, as with an isotropic liquid.¹⁵ When a metastable crystalline polymorph with lower m.p. is heated up to its m.p., it melts to an isotropic liquid. The isotropic liquid is so fluid that the molecules readily rearrange into a more stable crystalline phase due to the relaxation phenomenon (see Figure 10). If the smectic E phase is so solid (like a crystal) that the molecules

cannot move, a rapid rearrangement of the molecules, i.e., rapid relaxation from the  $S_E$  phase to K can not occur. In other words, if the  $S_E$  phase is a true crystalline phase without fluidity, such rapid rearrangement of the molecules and recrystallization do not occur; consequently, the multiple melting behavior via  $S_E$  should not be observed.

The present  $C_nO$ -Lig ( $n = 8 \sim 12$ ) exhibits the multiple melting behavior via the  $S_E$  mesophase. Therefore, this indicates that  $S_E$  mesophases are not crystals but liquids with fluidity. In other words,  $S_E$  mesophase is a liquid crystal rather than a crystal.

#### IV. CONCLUSION

We have synthesized here  $C_nO$ -A and  $C_nO$ -Lig ( $n = 8 \sim 12, 16, 18$ ).  $C_nO$ -A ( $n = 8 \sim 12, 16$ ) has a  $S_E$  mesophase.  $C_nO$ -Lig ( $n = 8 \sim 12, 16, 18$ ) has two mesophases,  $S_E$  and  $S_A$  mesophases. Interestingly, three crystalline polymorphs exist in each of the  $C_nO$ -Lig ( $n = 8 \sim 12$ ) compounds.  $C_nO$ -Lig for  $n = 8, 10, 12$  shows two types of double melting behavior via the  $S_E$  mesophase.  $C_nO$ -Lig for  $n = 9, 11$  exhibits the triple melting behavior via the  $S_E$  mesophase.

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